

## OUTSIDE RESEARCH RESTRICTIONS

The [UF COI and COC Policy](#) documents the longstanding principle that as a highly active research institution, UF employees must conduct any research as part of their Institutional Responsibilities.

### UF EMPLOYEES MUST NOT ENGAGE IN RESEARCH AS AN OUTSIDE ACTIVITY

Specifically, UF employees must not:

- Commit research effort to a project outside the university
- Publish scholarly work with a non-UF affiliation (including dual affiliation).
  - There are limited circumstances such as research started at a previous institution in which a dual affiliation is appropriate and required.

### RESEARCH CONDUCTED AS PART OF INSTITUTIONAL RESPONSIBILITIES DO NOT REQUIRE DISCLOSURE

Examples of activities that fall under Institutional Responsibilities include:

- Research conducted as part of UF appointment (e.g. awards and contracts submitted via UFIRST, funded by departmental or start-up funds)
- Research conducted on sabbatical (unless it involves being appointed at another institution, in which case that should be added to the Disclosure Profile as Other Appointment)
- Acting as consultant without committed effort on grants made to other institutions when UF affiliation is used in resulting publications and presentations

### START UP COMPANIES

Faculty and PI-eligible employees with start-up companies:

- Must not be PI of grants to their start-up companies or
- Must not commit effort on grants at their start-up companies
- For STTR (not SBIR) awards, may serve as PI of the prime award if there is a subcontract to UF and all effort on the project is via UF
- May guide work at start-up companies and provide consulting and scientific advising services when disclosed

### PART TIME EMPLOYEES WITH CONCURRENT RESEARCH APPOINTMENTS

Must disclose the non-UF other appointment on the Disclosure Profile and upload an agreement with Dean and Vice President Research regarding their dual research roles. Discloser must work with their supervisor, Associate Dean for Research and the COI Program via email to initiate the process of creating the agreement. Intergovernment Personnel Act (IPA) and Veteran's Administration employees do not need disclose their appointments or complete this process.